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UTILITY PATENT APPLICATION TRANSMITTAL <small>(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))</small>		Attorney Docket No. _____
First Inventor or Application Identifier ALAN D CLARK		
Title DYNAMIC QUALITY OF SERVICE MONITOR		
Express Mail Label No. ES 06324 3556 US		

APPLICATION ELEMENTS See MPEP chapter 600 concerning utility patent application contents.	ADDRESS TO: Assistant Commissioner for Patents Box Patent Application Washington, DC 20231
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1. <input checked="" type="checkbox"/> * Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original and a duplicate for fee processing)	5. <input type="checkbox"/> Microfiche Computer Program (Appendix)
2. <input checked="" type="checkbox"/> Specification [Total Pages 15] (preferred arrangement set forth below) - Descriptive title of the invention - Cross References to Related Applications - Statement Regarding Fed sponsored R & D - Reference to Microfiche Appendix - Background of the invention - Brief Summary of the invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure	6. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) a. <input type="checkbox"/> Computer Readable Copy b. <input type="checkbox"/> Paper Copy (identical to computer copy) c. <input type="checkbox"/> Statement verifying identity of above copies
3. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Sheets 6]	
4. Oath or Declaration [Total Pages 21] a. <input checked="" type="checkbox"/> Newly executed (original or copy) b. <input type="checkbox"/> Copy from a prior application (37 C.F.R. § 1.63(d)) (for continuation/divisional with Box 16 completed) i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).	

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7. <input type="checkbox"/> Assignment Papers (cover sheet & document(s))	
8. <input type="checkbox"/> 37 C.F.R. § 3.73(b) Statement of Power of Attorney (when there is an assignee)	
9. <input type="checkbox"/> English Translation Document (if applicable)	
10. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449	<input type="checkbox"/> Copies of IDS Citations
11. <input type="checkbox"/> Preliminary Amendment	
12. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized)	
13. <input checked="" type="checkbox"/> * Small Entity Statement(s) filed in prior application, (PTO/SB/09-12) Status still proper and desired	
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Prior application information: Examiner _____ Group / Art Unit _____

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Signature	AS Clark	Date	05/19/2000

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**STATEMENT CLAIMING SMALL ENTITY STATUS
(37 CFR 1.9(f) & 1.27(b))--INDEPENDENT INVENTOR**

Docket Number (Optional)

Applicant, Patentee, or Identifier: ALAN D CLARK

Application or Patent No.: _____

Filed or Issued: _____

Title: DYNAMIC QUALITY OF SERVICE MONITOR

As a below named inventor, I hereby state that I qualify as an independent inventor as defined in 37 CFR 1.9(c) for purposes of paying reduced fees to the Patent and Trademark Office described in:

- ☒ the specification filed herewith with title as listed above.
☐ the application identified above.
☐ the patent identified above.

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ALAN D CLARK
NAME OF INVENTOR

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Signature of inventor

May 19th 2000
Date

Date

Date

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Patent Application of
Alan D Clark
for

TITLE: Dynamic Quality of Service Monitor

RELATED PATENT APPLICATION

The present invention describes an alternative and extended means of monitoring certain time related aspects of quality of service to co-pending patent application 09/551598 filed on 18th April 2000.

BACKGROUND - FIELD OF INVENTION

This invention relates to methods of estimating the subjective quality of a multimedia communications system or distributed applications software system in which the quality of the received audio, voice, video or the response time of the software system may be degraded by time varying impairments and in which it is accordingly desirable to have some means of measuring or estimating the subjective or perceptual quality of the decoded audio, voice, video or software system.

It is desirable to have some means of measuring the quality of a distributed system in order that it can be verified that the system is operating correctly and that user expectations are being met. In the case of a speech transmission system such as a telephone network this measurement is sometimes made subjectively by using a test group of people or test surveys. The results of a subjective voice

quality test are usually given as a Mean Opinion Score (MOS). As it is generally impractical to conduct subjective tests during the normal operation of a speech transmission system objective testing methods have been developed. These use measured statistics related to the speech transmission system to predict a subjective performance level and typically determine an R rating factor.

Modern communications systems often used some form of shared transmission medium, for example wireless, local or wide area packet switched network. This increases the prevalence of time varying transmission impairments unlike the more constant and predictable impairments characteristic of older circuit switched networks.

It has been found during subjective testing that bursts of noise or distortion occurring late in a call have greater effect than the same bursts occurring early in the call, as illustrated in Figure 3. This effect is thought to be due to the operation of human short-term memory which retains information on the most recent events and remembers detailed information on heard events for typically two minutes. If a series of bursts of noise or distortion occur during a telephone conversation then according to the known and accepted psychological theories of short term memory and recency the user would tend to remember the last burst and have a general recollection of the call quality up to that point. Experiments by Boneau and Daily (Psychological Association of America, 1995) indicate that the short-term memory loss process is exponential in nature.

Current systems for estimating voice quality do not consider the effects of the temporal location of impairments on the user and hence do not give an accurate representation of subjective voice quality. The present invention relates to methods for estimating subjective voice quality that consider temporal effects and hence can provide greater accuracy.

Distributed applications software can exhibit similar problems. Network or server loading may vary with time, which results in a user experiencing variable response time. It is expected that detailed subjective testing of user satisfaction would reveal similar characteristics to those found in testing telephone networks as the same basic memory processes are at work. The present invention provides a new approach for estimating the subjective quality of distributed applications software.

BACKGROUND - DESCRIPTION OF PRIOR ART

Prior art systems for measuring voice quality, as described by Douskalis (Hewlett Packard 2000), Royer (US 5,710,791) and Di Pietro (US5,867,813), use centralized test equipment which samples the voice quality from various conversion points. A loop back condition is established at an conversion point, the test equipment transmits a known signal and then compares the received (looped back) signal with the original, thereby estimating delay, distortion and other impairments. This approach provides an accurate measure of voice distortion but only provides this measure for a sample conversion point and under the network conditions that existed at the time of the test. No provision is made in these systems for estimating the effects of the temporal location of impairments on quality.

Another approach currently used for estimating voice quality is to estimate the subjective performance of the voice connection using objectively measured parameters. Models such as the E-Model described by Johannesson, (IEEE Communications Magazine 1997), are able to produce R ratings which can be correlated to user perceived voice quality. This process is applied by a central management system which gathers statistics on noise and delay and then produces an estimate of voice quality. This method as described by Johannesson does not consider the effects of the temporal location of impairments.

Rosenbluth (T1 committee contribution T1A1.7/98-031) described the result of a subjective voice quality test conducted by AT&T. This test employed a set of 60 second voice samples which were corrupted at the beginning, middle and end. Rosenbluth reported that the MOS score typically ranged from 3.8 for a sample corrupted at the beginning to 3.2 for a sample corrupted at the end. Rosenbluth proposed a mathematical model for determining the estimated voice quality of the speech sample which comprised the steps of dividing the sample into segments, computing a MOS score for each segment, computing a weight for each segment that was a function of the proportional position of the segment within the overall sample and then computing the sum of the segment MOS scores multiplied by their respective weights. This approach suffers from several major drawbacks:

- (i) Rosenbluth determined the weight for each impairment based on the proportional location of the impairment within the speech segment - i.e. determined a number between 0 and 1 that represented the position of the impairment between the start and the end of the segment. This is counter-intuitive as it would give the same weight to an impairment occurring 30 seconds before the end of a one minute call to an impairment occurring 30 minutes before the end of a one hour call whereas the process by which information is lost from human memory is time dependant.
- (ii) The equations used by Rosenbluth were computationally complex, which would be acceptable in an off-line determination of voice quality based on recorded data but not for real-time computation.
- (iii) Rosenbluth proposed a testing methodology in which a series of short test messages of known time duration could be sent and then the results from each test message combined. Rosenbluth did not consider a test methodology in which quality was continually estimated during the normal operation of the system.

Accordingly, there is a need for a means of estimating subjective quality in a voice communications system that considers the temporal location of impairments and is computationally efficient.

Furthermore, there is a need for a means of estimating subjective quality in a voice communications system that considers the temporal location of impairments and is able to continuously monitor voice quality during the normal operation of the communications system.

Furthermore, there is a need for a means of estimating subjective quality in a video transmission system that considers the temporal location of impairments and is computationally efficient.

Furthermore, there is a need for a means of estimating subjective quality in an audio transmission system that considers the temporal location of impairments and is computationally efficient.

Furthermore, there is a need for a means of estimating subjective quality in a distributed applications software or client-server system that considers the temporal location of impairments and is computationally efficient.

SUMMARY

The present invention provides an improved means of estimating subjective quality in a communications systems wherein the temporal location of impairments within the data stream are considered and the subjective effects of said impairment determined based on the time delay between the occurrence of said impairment and a time reference at which the quality estimate is being determined.

Objects and advantages

Accordingly, besides the objects and advantages of the present invention described above, several objects and advantages of the present invention are:

- (a) to provide a method for estimating the subjective or perceptual quality of a multimedia communications system which considers the temporal location of impairments
- (b) to provide a computationally efficient method for estimating the subjective or perceptual quality of a multimedia communications system
- (c) to provide a computationally efficient method for estimating the subjective or perceptual quality of a distributed applications software system

Further objects and advantages are to provide a method of estimating subjective quality for an audio or video streaming system in which packetized audio or video is broadcast or multicast through a packet network and for a multimedia conferencing system. Still further objects and advantages will become apparent from consideration of the ensuing description and drawings.

DRAWING FIGURES

Embodiments of the invention (the Quality Monitor) are described below by way of example only with reference to Figs. 1 to 5 of the accompanying drawings, of which:-

Fig 1 shows an example of the use of the Quality Monitor in a multimedia communications system

Fig. 2 shows an example of the use of the Quality Monitor in a distributed software applications system

Fig. 3 shows an example of the effects of temporal location on subjective voice quality

Fig. 4 shows the architecture of the Quality Monitor

Fig. 5 shows a flowchart for the Quality Monitor

Fig. 6 shows an example of the operation of the Quality Monitor.

DESCRIPTION

Preferred embodiment

Fig. 1 shows an example of a multimedia communications system. Audio or video source (6) transmits a stream of audio or video data to user interface (5) by means of transmitter (1), transmission network (2) and receiver (3). Transmission network (2) is subject to time varying impairments which may include jitter, noise, distortion, variations in amplitude and other such impairments. Quality Monitor (7) is connected to or contained within Receiver (3), monitors the received data stream and reports the estimated subjective quality to management system (8).

Fig. 2 shows an example of a distributed applications software system. A user interacts with a remote Server (10) by means of User Interface (13), Client Software (12) and Transmission Network (11). Requests from the user for data are submitted via User Interface (13) to Client Software (12). Client Software (12) forwards a representation of the user request through Transmission Network

(11) to Server (10). Server (10) sends a response through Transmission Network (11) to Client Software (12). Client Software (12) communicates the response to the user by means of User Interface (13). The response time between the user request and the response may vary depending on the loading and capacity of Transmission Network (11) and Server (10). Quality Monitor (7) is connected to or contained within Client Software (12), monitors the transmitted and received data stream and reports the estimated subjective quality to management system (8).

Fig. 3 shows some results (from Rosenbluth) of the effects of temporal location on subjective quality for a voice communications system, by way of illustration to support understanding of the following description. It can be seen that for an impairment occurring early in the call the subjective voice quality was 3.8, which is quite good quality, whereas the identical impairment occurring at the end of the call resulted in a subjective quality of 3.2, which is considerably lower quality.

Fig 4. shows the architecture of Quality Monitor (8) as employed in a voice communications system. The structure of Quality Monitor (8) for other applications would be similar however the terminology used for impairments may vary.

Impairment Measurement functions (20), (21), (22) are connected to an Instantaneous Voice Quality R_i function (23) and measure the value of transmission system impairments during a time interval. In the preferred embodiment Impairment Measurement function (20) measures end to end transmission delay, Impairment Measurement function (21) measures packet loss percentage and Impairment Measurement function (22) measures the quality of the base voice coding algorithm. Instantaneous Voice Quality function (23) is connected to one input of a Comparator (24), an Increment function (27) and a Decrement function (26). The inputs of R Counter (25) are connected to Increment function (27) and Decrement function (26). The outputs of R Counter (25) are connected to Comparator (24), Average function (28), Minimum function (29), Maximum function (30) and to Output to Management System function (31). Average function (28) is fed back to Increment function (27).

Fig. 5 shows a flowchart of the operation of Quality Monitor (8) as employed in a voice communications system.

Fig. 6 shows an example of the output of Quality Monitor (8) as employed in a voice communications system.

Description of Operation

The following sequence of operations is executed at periodic intervals. In the preferred embodiment said periodic interval is one second however shorter or longer times may be used. A transmission quality factor R is determined using the procedures described in detail below. Subjective voice quality is related to quality factor R according to the relationship:

<u>R</u>	<u>Subjective voice quality</u>
90 - 100	Very satisfactory/ excellent
80 - 90	Satisfactory/ good
70 - 80	Some users dissatisfied/ fair
60 - 70	Many users dissatisfied / poor
50 - 60	Most users dissatisfied
0 - 50	Unacceptable

Impairment measurement function 1 (20) determines the base voice quality factor for a particular voice coding algorithm for R_c . Flowchart step Determine Base Quality (40) comprises the process of identifying which voice coding algorithm is being used and then using a predetermined table of values to determine the value R_c . A typical initial R_c value for a voice coding algorithm is 84.

Impairment measurement function 2 (21) determines the quality reduction due to the end to end transmission delay. Flowchart step Determine Quality Degradation (41) comprises the process of using the measured end to end delay to determine the delay quality degradation factor R_d . In the preferred embodiment this is determined using the piecewise linear approximation:

If delay < 175mS then

Flowchart Step 41

$$R_d = \text{delay} * a$$

else

$$R_d = (\text{delay} - b) * c$$

In the preferred embodiment delay is the one way transmission delay plus the delay due to the voice compression process, $a = 0.02$, $b = 147$ and $c = 0.125$ however other values may be used.

Impairment measurement function 3 (22) determines the quality reduction due to the percentage of voice packets lost since the last measurement. Flowchart step Determine Quality Degradation (42) comprises the process of using the measured packet loss to determine the packet loss quality degradation R_p . In the preferred embodiment this is determined using the piecewise linear approximation:

If loss < 5% then Flowchart step 42

$$R_p = \text{loss} * e_1$$

else

$$R_p = \text{loss} * e_2 + f * (e_1 - e_2)$$

In the preferred embodiment loss is the percentage of packets lost since the last measurement, $e_1 = 4$, $e_2 = 2.5$ and $f = 5$ however other values may be used. Preferably the values of e_1 and e_2 are obtained from a table which holds specific values for each voice coding algorithm.

The instantaneous voice quality factor R_i (23) is then determined by subtracting the reduction factors (21) and (22) from the base R value (20) for the voice coding algorithm. Flowchart step Determine Voice Quality Factor (43) comprises the process of using the previously determined quality factors to determine the value of R_i (23):

$$R_i = R_c - R_d - R_p$$

Comparator (24 and flowchart step 44) is used to determine if the value of Counter (25), which represents the voice quality factor R, should be increased or decreased. If R_i (23) is low then the user is experiencing poor quality and voice quality factor R (25) is decreased rapidly. If R_i (23) is high then the user is experiencing good quality and voice quality factor R (25) is increased exponentially towards the average voice quality factor R_{av} (28).

If $R < R_i$ then

flowchart step 44

$$R = R + (R_{av} - R) / g \quad \text{flowchart step 45}$$

else

$$R = R - h \quad \text{flowchart step 46}$$

In the preferred embodiment $g = 200$ and $h = 4$ however other values may be used.

Flowchart step 47 updates the average (28), minimum (29) and maximum (30) values of R (25).

The average voice quality factor R_{av} (28) is determined by adding the value R_i to a sum R_{sum} , incrementing a count of the number of values and dividing R_{sum} by said count:

$$R_{sum} = R_{sum} + R_i$$

$$N = N + 1$$

$$R_{av} = R_{sum} / N$$

The maximum and minimum values of R are determined by setting R_{max} (30) equal to R (25) if R ever exceeds R_{max} and by setting R_{min} (29) equal to R if R is ever below R_{min} .

The parameters R (25), R_{av} (28), R_{min} (29) and R_{max} (30) are updated according to the procedures described above at regular intervals, preferably every second, and the values may be read at any time by a central management system through Output to Management System (31) or may be incorporated into an end-of-call record for later analysis.

Alternative embodiments

The subjective effects of additional impairments such as jitter, clipping, noise and amplitude limiting may be determined and subtracted from the value of R_i using the same basic procedures as described above.

The value of R_{av} may be determined either by averaging the output value R or the instantaneous value R_i .

The periodically obtained sets of measured impairment values may be stored and at some later time retrieved for analysis using the same procedure described above.

An alternative means of determining a quality factor that represents the temporal position of impairments is to measure the impairment values at regular intervals, at each such interval to compute an estimated subjective quality value, and to compute a weighted sum of each subjective quality value multiplied by a function of the time difference between the time at which the impairment measurement was made and the time reference for which the subjective quality is being determined.

The present invention may be used to measure the subjective quality of a distributed applications system, as shown in Figure 2. The measured impairments would include signal reception data rate and response time, both of which may be affected either by network bandwidth or server loading.

Conclusion, Ramifications and Scope

One benefit of the present invention is that the subjective speech quality of a voice communications system may be determined more accurately as the effects of the temporal location of impairments are considered.

Another benefit of the present invention is that the computational complexity is low and hence the quality monitoring system can be implemented at low cost.

Although the description above contains many specificities these should not be construed as limiting the scope of the invention but as merely providing illustrations of some of the presently preferred embodiments of this invention. For example, the present invention could be used for monitoring the quality of a cellular telephone system, a digital broadcast video system or the user interface on a software application.

Thus the scope of the invention should be determined by the appended claims and their legal equivalents, rather than by the examples given.

CLAIMS:

I claim:

1. A method for automatically estimating the subjective quality of a signal carried over a transmission path and presented at a user interface comprising the steps of:
 - (a) at periodic intervals monitoring the transmission path used to carry said signal and at each of said monitoring intervals
 - (b) determining the values of one or more impairment factors and for each set of values so obtained estimating the effect that said impairment factors have on the subjective or perceptual quality of said signal and
 - (c) determining the estimated subjective quality of said signal by combining the estimated effects of each of said impairment factors and
 - (d) reducing the value of a counter if said combined estimated subjective quality is below the value of said counter or increasing the value of said counter if said combined estimated subjective quality is above the value of said counter.
2. A method as defined in claim 1 further characterized in that said signal is selected from the set of digitized voice, digitized audio and digitized video signal.
3. A method as defined in claim 1 further characterized in that one of said impairment factors is the response time between a request being sent from said user interface to a server and a response being received from said server.
4. A method as defined in claim 2 further characterized in that said increasing in value of said counter is performed in increments which are a function of the difference between said estimated subjective quality and the average value of said estimated subjective quality.
5. A method as defined in claim 3 further characterized in that said increasing in value of said counter is performed in increments which are a function of the difference between said estimated subjective quality and the average value of said estimated subjective quality.
6. A method for automatically estimating the subjective quality of a signal carried over a transmission path and presented at a user interface comprising the steps of:
 - (a) monitoring the transmission path used to carry said signal at periodic intervals and at each of said monitoring intervals determining the values of one or more transmission impairment factors and a time reference and storing said values and time references in sets for later processing
 - (b) retrieving said sets of stored values and for each set

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- (i) estimating the effect that each member of said set has on the subjective or perceptual quality of said signal and
 - (ii) determining the estimated subjective quality of said signal by combining the estimated effects of each member of said set and
 - (iii) reducing the value of a counter if said estimated subjective quality is below the value of said counter or increasing the value of said counter if said estimated subjective quality is above the value of said counter
7. A method as defined in claim 6 further characterized in that said signal is selected from the set of digitized voice, digitized audio and digitized video signal.
8. A method as defined in claim 6 further characterized in that one of said impairment factors is the response time between a request being sent from said user interface to a server and a response being received from said server.
9. A method as defined in claim 7 further characterized in that said increasing in value of said counter is performed in increments which are a function of the difference between said estimated subjective quality and the average value of said estimated subjective quality.
10. A method as defined in claim 8 further characterized in that said increasing in value of said counter is performed in increments which are a function of the difference between said estimated subjective quality and the average value of said estimated subjective quality.
11. A method for automatically estimating the subjective quality of a signal comprising the steps of:
 - (a) periodically monitoring the transmission path used to carry said signal and determining the values of one or more transmission impairment factors and for each set of values so obtained estimating the effect that said impairment factors have on the subjective or perceptual quality of said signal and
 - (b) determining the estimated subjective quality of said signal at each of said periodic monitoring times by combining the estimated effects of each of said impairment factors and
 - (a) multiplying each of said estimated subjective effects by a factor which is a function of the time difference between said monitoring time and a reference time for which a weighted total subjective quality is being determined and
 - (b) determining an estimated weighted total subjective quality by summing the set of said subjective quality estimates
12. A method as defined in claim 11 further characterized in that said signal is selected from the set of digitized voice, digitized audio and digitized video signal

13. A method as defined in claim 11 further characterized in that one of said impairment factors is the response time between a request being sent from said user interface to a server and a response being received from said server.

ABSTRACT OF THE DISCLOSURE

A subjective quality monitoring system for multimedia signal transmission systems or distributed applications software systems employs a counter which is increased if subjective quality is high and decreased if subjective quality is low in order to represent the effects of the relative temporal location of transmission impairments or system loading.

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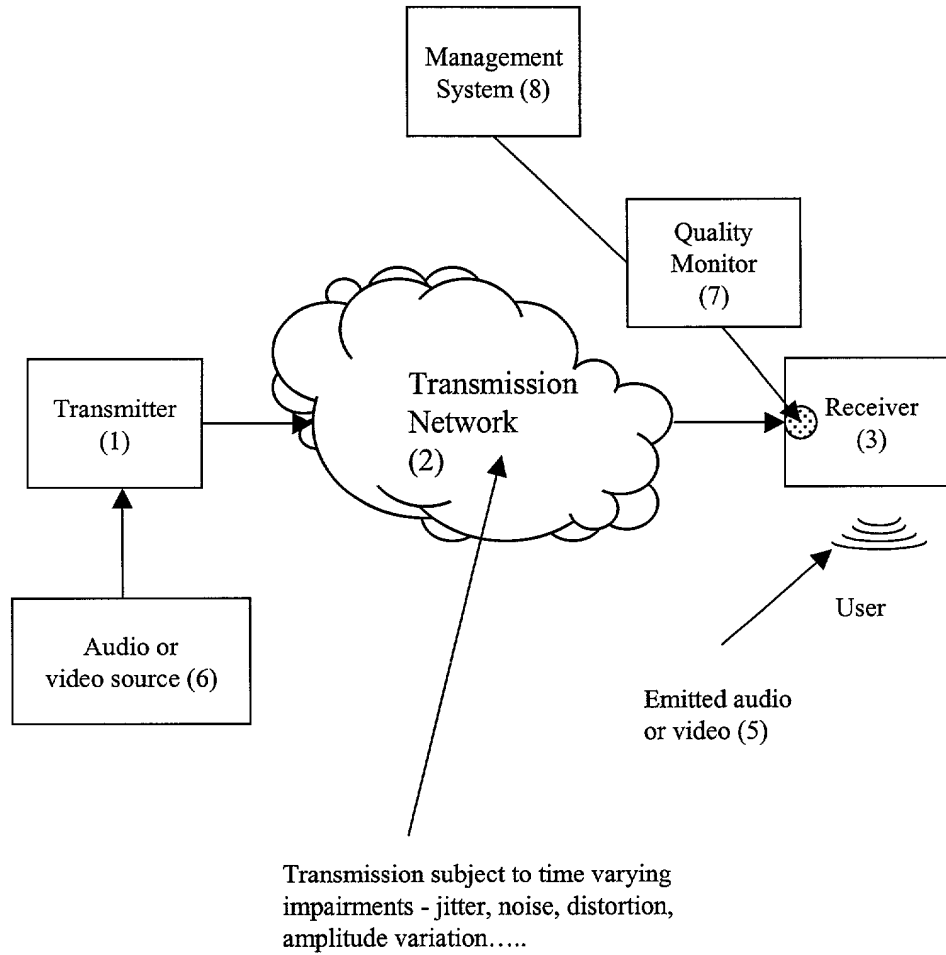


Figure 1 - Multimedia communications system

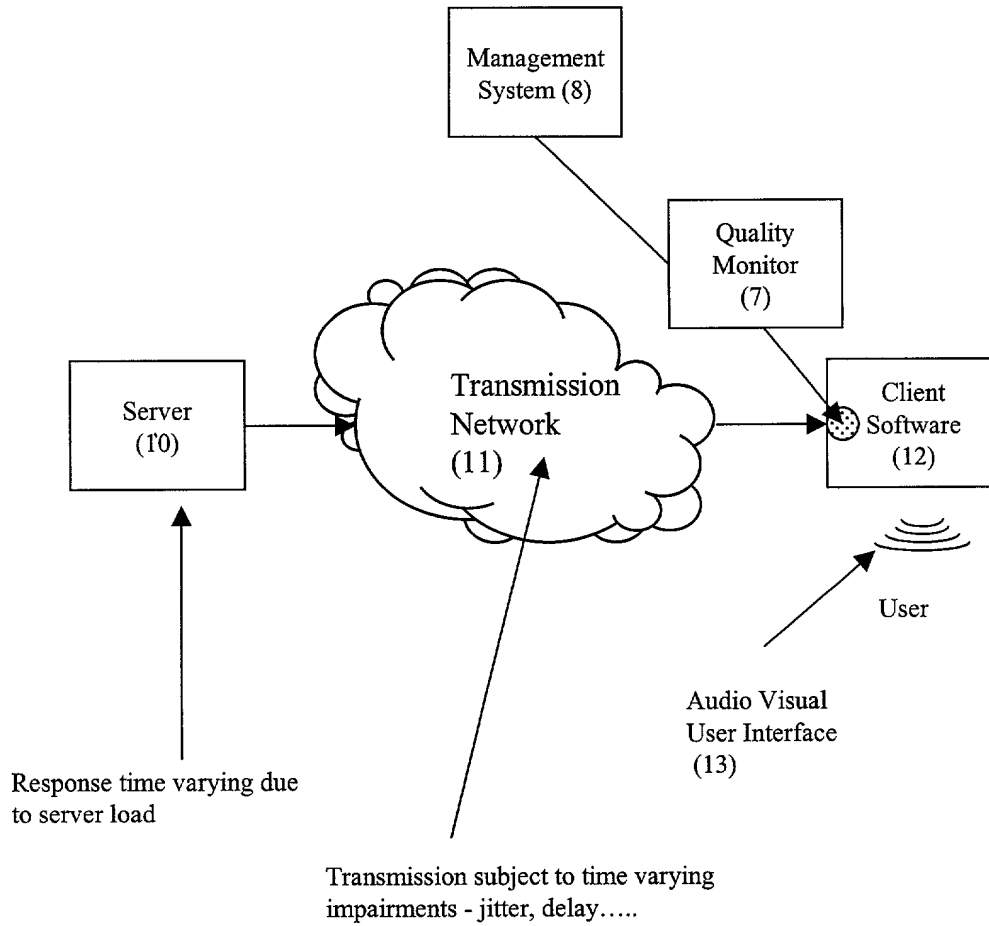


Figure 2 - Distributed Applications Software system

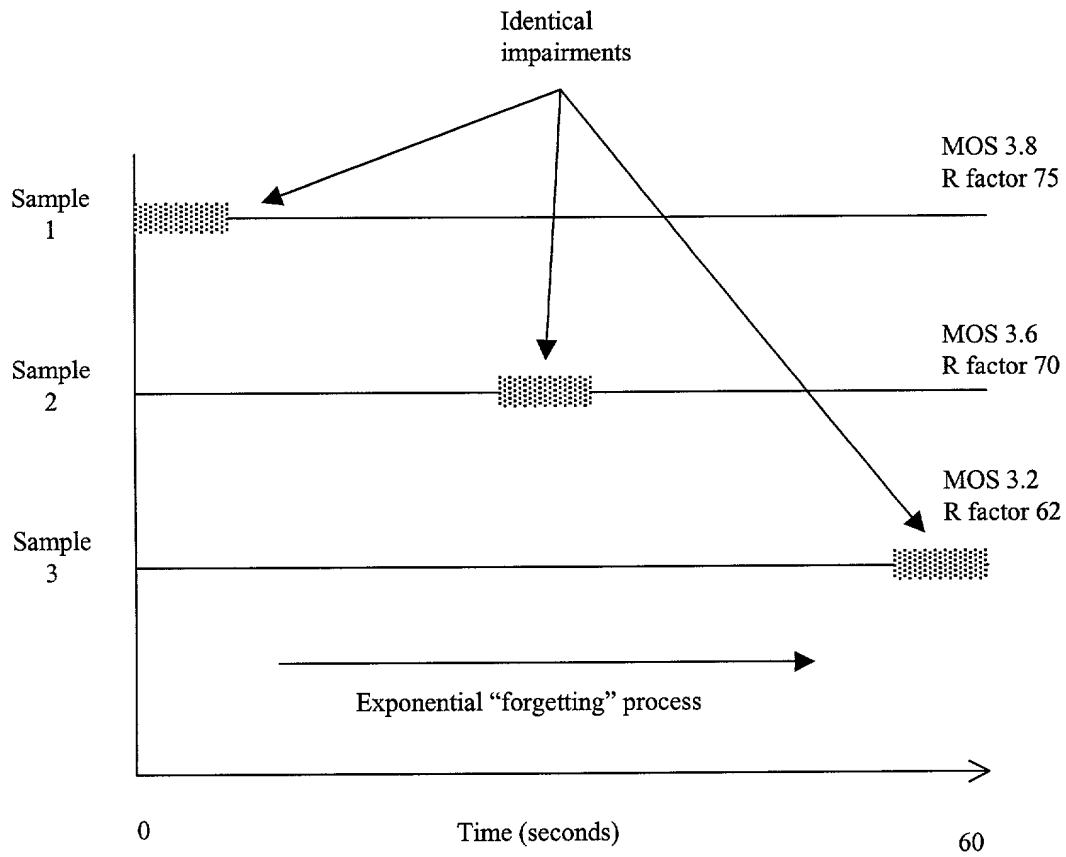


Figure 3 - Effect of temporal location on speech quality

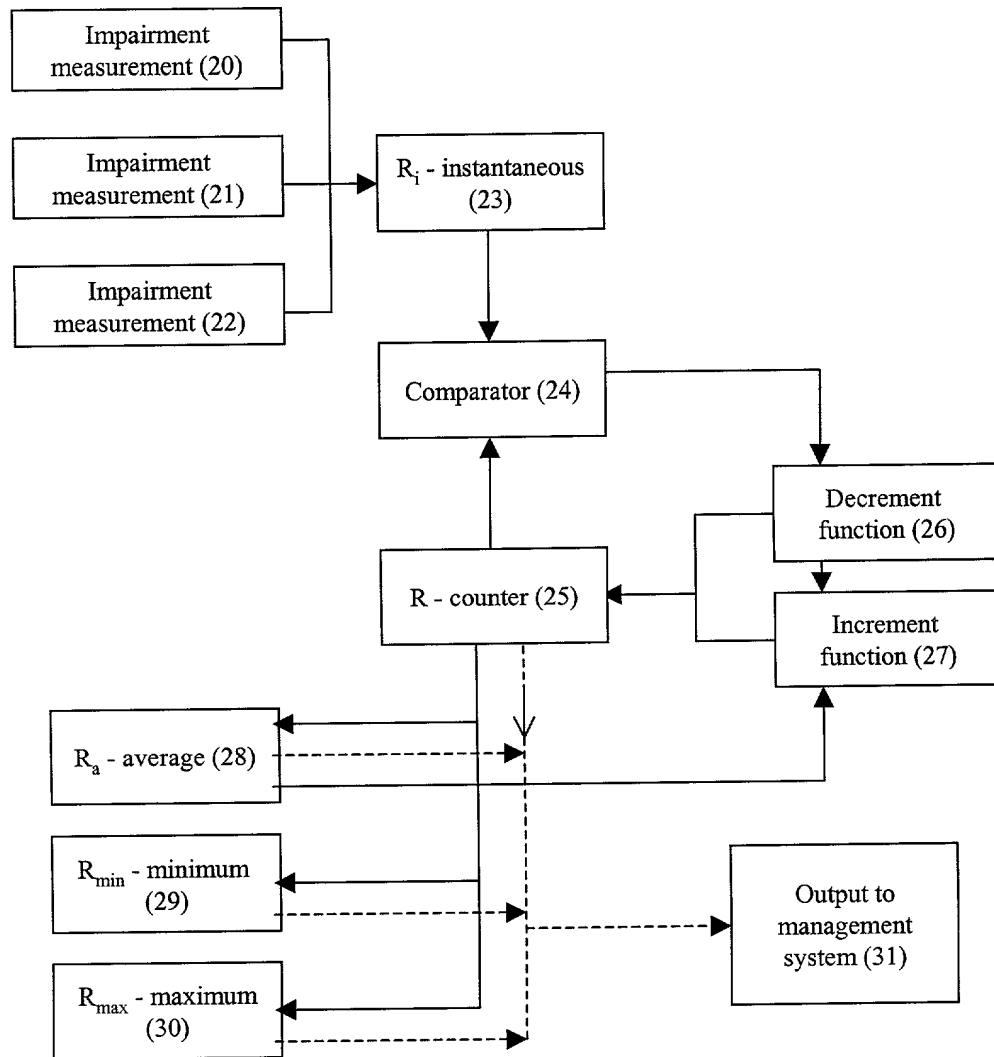


Figure 4 - Architecture of Quality Monitor

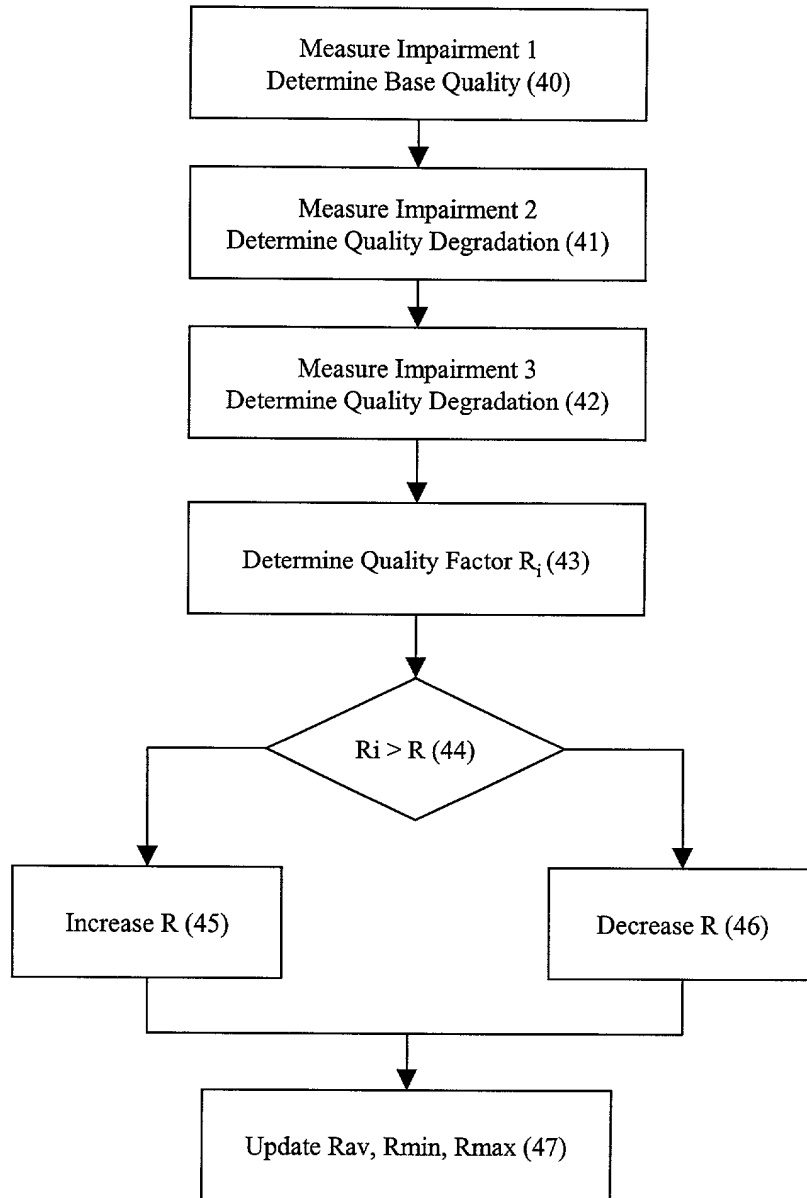


Figure 5 - Flowchart for Quality Estimating System

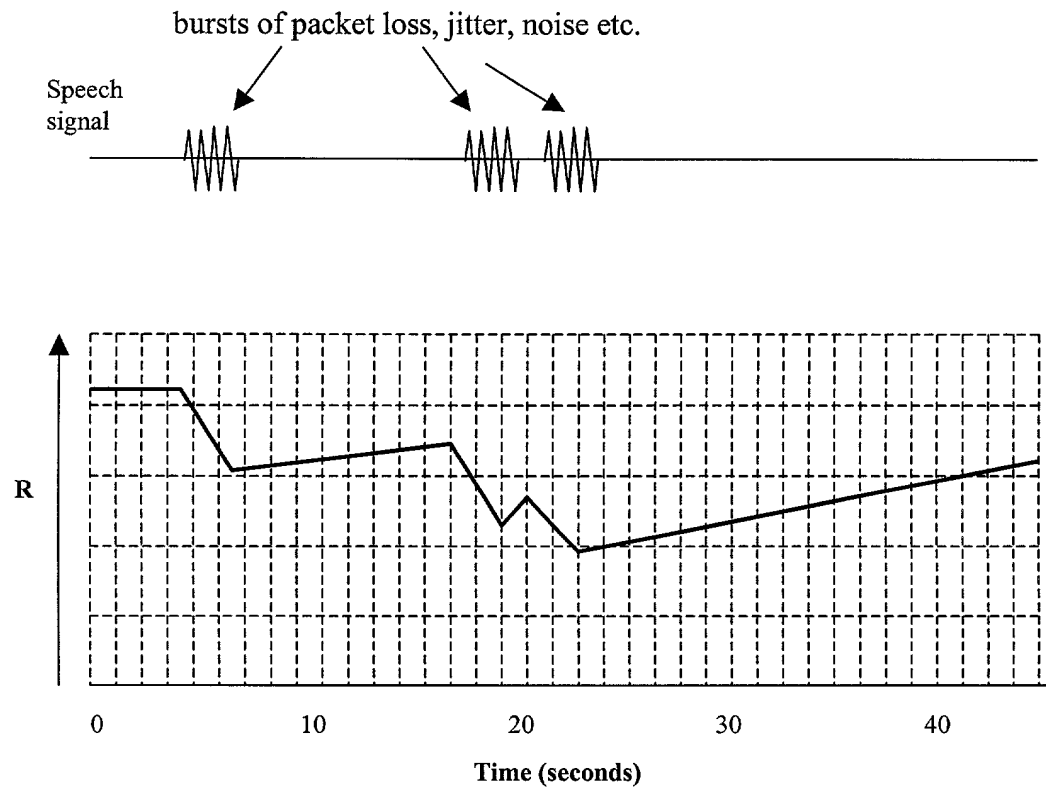


Figure 6 - Example of output from Quality Monitor

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	First Named Inventor	ALAN D CLARK
	COMPLETE IF KNOWN	
	Application Number	/
	Filing Date	
	Group Art Unit	
	Examiner Name	

As a below named inventor, I hereby declare that:

My residence, post office address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

DYNAMIC QUALITY OF SERVICE MONITOR

the specification of which (Title of the Invention)

☒ is attached hereto
OR
☐ was filed on (MM/DD/YYYY) as United States Application Number or PCT International Application Number and was amended on (MM/DD/YYYY) (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56.

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Name of Sole or First Inventor:		<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name (first and middle (if any))		Family Name or Surname			
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☐ Additional inventors are being named on the supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto